



[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH
Serial No. : 09/447,319
Filed : November 22, 1999
For : **SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT**

#6/a
p

Examiner : Hani M. Kazimi

Group Art Unit : 3624

Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date 4/19/2003 Atty's Reg. # 33,865

Atty's Signature AARON C. DEDITCH

AMENDMENT

SIR:

In response to the Office Action mailed on January 15, 2003 (the three-month response date for which is April 15, 2003), please reconsider the above-identified application based on the following:

IN THE CLAIMS:

Without prejudice, please replace/amend the claims as follows:

1. (Amended) A method for trading an instrument, comprising the steps of:
- providing a request for a price of the instrument from a customer to a plurality of users via a communication network, the request including request data concerning the instrument;
 - receiving at least one response to the request from the plurality of users, the at least one response including price data;
 - providing the at least one response to the customer via the communication network;
 - determining a best price response to the request as a function of the at least one response;
 - providing a first indication to the user who provided the best price response; and
 - providing a second indication to the users who did not provide the best price response, the first and second indications being different from one another;

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D.C. 20231, ps

Date 4/14/2003 Atty's Reg. # 33,865

Atty's Signature AARON C. DEDITCH

TRANSMITTAL

SIR:

Transmitted herewith for filing in the above-identified patent application is an
Amendment.

The Commissioner is authorized to charge fees of \$180.00 for the ten (10) net
additional dependent claims to Kenyon & Kenyon Deposit Account No. 11-0600, and is also
authorized as appropriate and/or necessary, to charge any additional fees (including any other
Rule 136(a) extension fees) or credit any overpayment to Kenyon & Kenyon Deposit Account
No. 11-0600. One duplicate copy of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Dated: 4/14/2003

By: Aaron C. Deditch
(Reg. No. 33,865)

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APR 29 2003
GROUP 3600

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